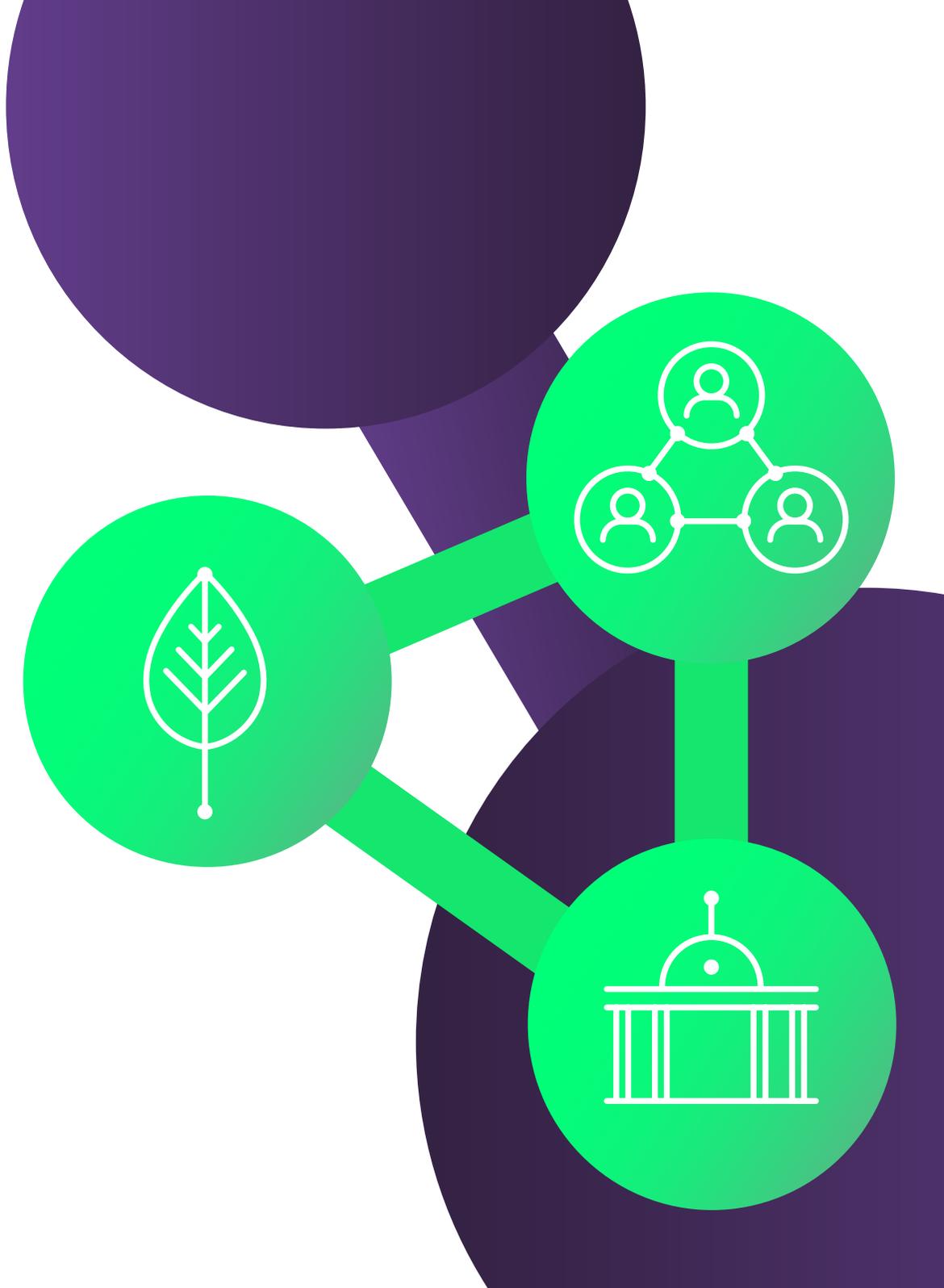


quantexa

ESG Responsibility Report

2021



Foreword

2020 changed many people's understanding of 'normality'. It's simply impossible to conduct a review of 2020 without discussing the global pandemic. Almost two years on, Covid-19 shows no signs of abating, and so we must put measures in place to manage this 'new normal'.

Beyond the drastic and sudden impact Covid-19 had on the world in March 2020, we will undoubtedly see long-term changes in the way we live and work.

Quantexa has always championed change. As a start-up, we have experienced our fair share of challenges, each offering its own vital lesson. We have since grown from these experiences, and continue to search for new ways to do better.

I am very proud of the Quantexa family. The speed at which we adapted to the uncertainties of the global crisis, and the positivity that drove this showed me how well we can work together, whatever the circumstances. I believe our continued success through 2020 and beyond has brought us closer to our clients, partners, and of course, to each other. We will continue to seek, drive and embrace change.

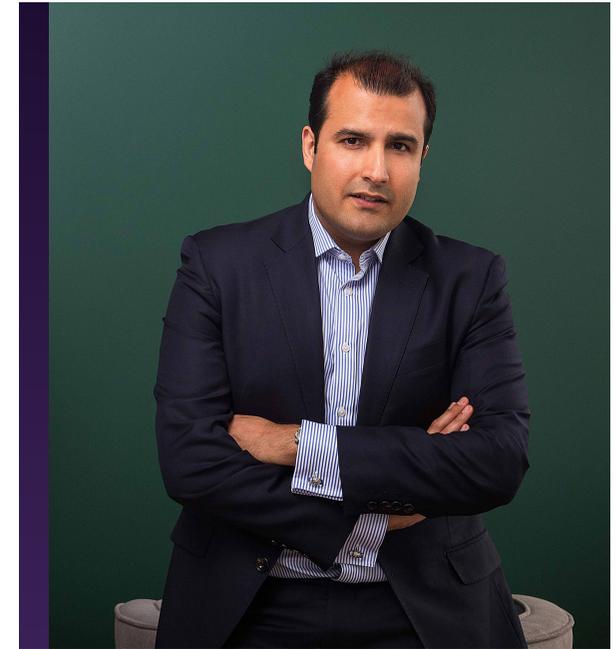
Our focus on pushing the boundaries of data analytics continues. As we look to reduce the risks around financial crime, we must continue to adapt and work together to allow future generations to prosper and grow as we have.

The world must understand the importance of sustainability and that it is our shared responsibility. Doing the right thing is consistent with the Quantexa ethos. We believe that by leaning towards a cleaner, better world we will realize that ESG aligned initiatives can be a driver towards positive change and can deliver value, as well as a mitigator of risk.

This is our 2021 ESG Report. As part of our ESG Policy we remain committed to the Global Compact and its principles, and we have adopted them as the 10 Quantexa ESG Commandments. I'm pleased to say that we have taken several important steps over the past year, including an update to our internal principles, along with an increased focus on diversity, to allow Quantexa to expand and build a business of the future. This could not – and cannot – be done without our people. They are what make Quantexa.

We recognize that the issues surrounding climate change are urgent and we are therefore committed to our pledge to support the transition to a low-to-zero carbon economy.

As we look to the future, our vision is to become an environmental leader and voice within the FinTech industry.



“Quantexa is committed to considering the impact of key environmental, social and governance factors in all of our decision-making processes. This starts from decisions made by individuals at Quantexa all the way to the executive board. We all have a role to play.”

Vishal Marria – CEO, Quantexa

Quantexa at a glance



Quantexa at a glance



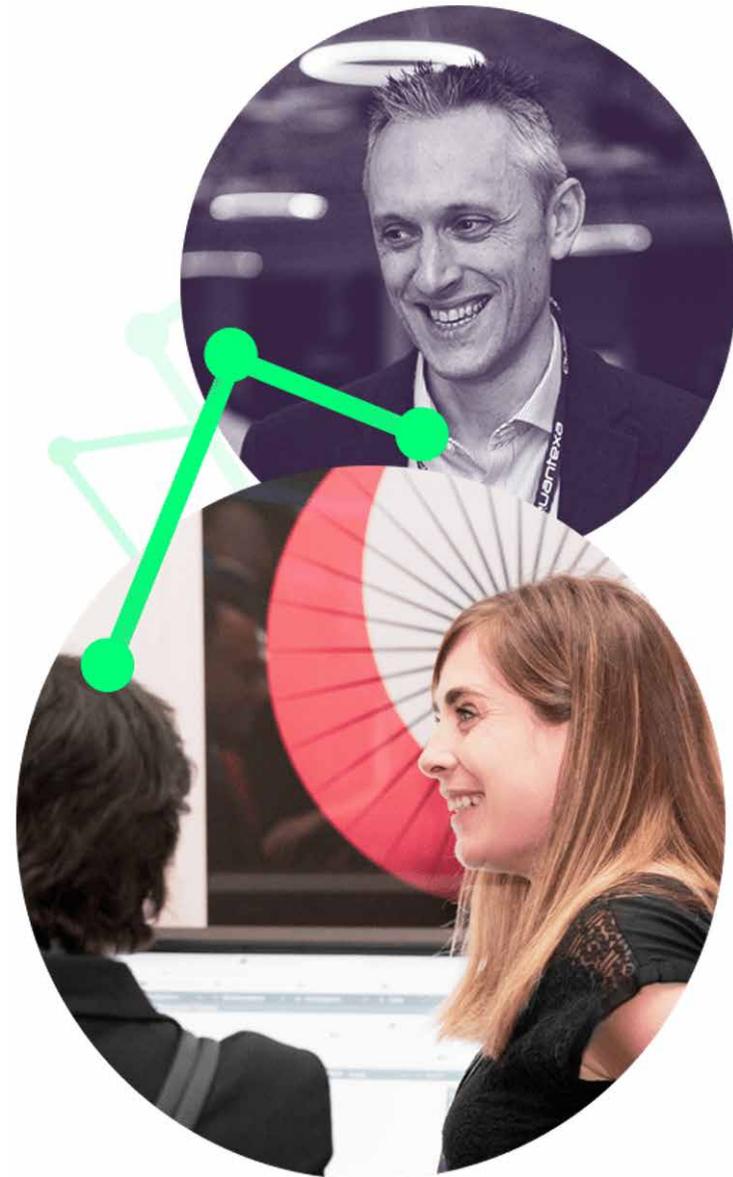
More than a decade ago, our founders pioneered network analytics. Now, we've combined the same innovative approach with the latest data and analytics technology to help organizations identify and connect the relationships that matter the most to empower better operational decisions.

Our vision at Quantexa is for all organizations to have the ability to harness their data to uncover hidden risk and discover new opportunities. To use data for good across real-world problems.

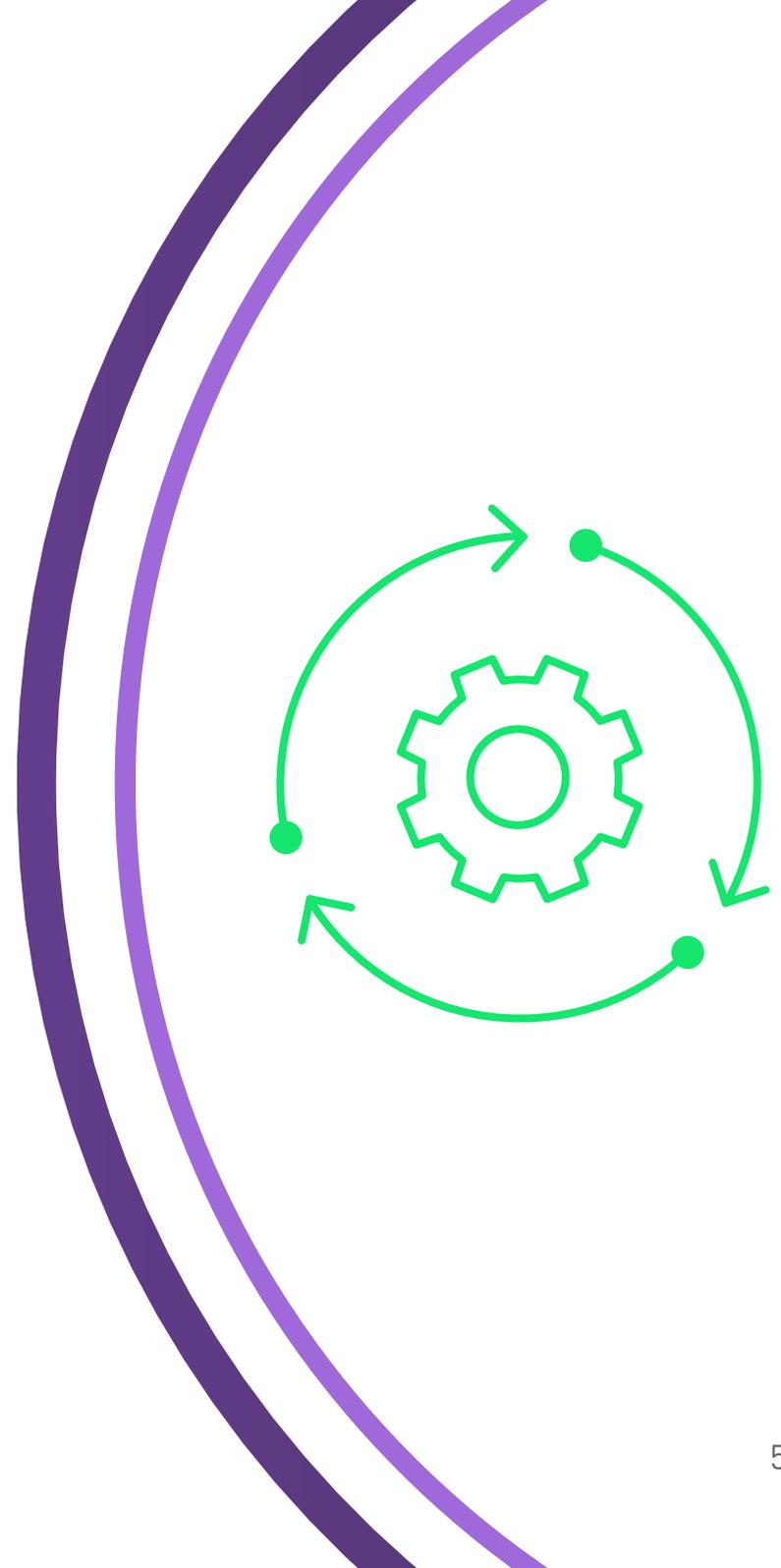
Working with us gives you access to world-class specialists and leading edge contextual decisioning technology.

The technology platform we've built is sustainable and scalable as part of an industry ecosystem: it evolves with the market. We work with Tier 1 organizations worldwide, like HSBC, and Standard Chartered Bank, helping these businesses handle tens of billions of records.

With real-time entity resolution, network generation and advanced analytics, we create the context that helps organizations make more informed and accurate decisions – faster.



Our commitment to change





Objective

Key 2020/2021 Achievements

2021/2022 Targets



Reduce Quantexa’s carbon footprint, including increasing our recycling efforts, reducing waste and developing awareness of our green solutions



Working closely with WeWork to monitor and achieve energy reductions and savings across all Quantexa locations



Empowering our teams to make sustainable decisions both at work and at home

- Assess high carbon emissions within Quantexa’s supply chain
- Encourage a more hybrid working approach for all employees
- Reduce carbon emissions through individual accountability for emissions from business travel
- Further environmental knowledge and positive action through our products and services and, accordingly, conduct our business with the lowest environmental impact
- Encourage our employees to use a more sustainable means of transport when commuting to the office
- Moving to a virtual meeting first working environment



Promote and support diversity and inclusion within our workplace across all our offices and actively encourage integration



Reviewed how we on-board our colleagues and how they are integrated into the Q environment



Ensuring all Q socials are fully inclusive and communicated to all staff



Creation of LGBT+ awareness programmes

- Focusing on positive support around mental health and employee wellbeing
- Continual promotion of inclusion, diversity and respect to provide equality of opportunity and reduce the gender pay gap
- All hiring and promotion decisions will have a D&I lens



Positively impact our community, its education and our employees’ wellbeing by offering opportunities and support



We have created a “Give as you Earn Scheme” for all employees



Created a sponsorship programme with a number of universities

- Continue regular wellbeing seminars around key focus areas and topics and building out discussion forums for education and understanding
- Generating local social value through volunteering and investing in our communities
- Looking after our colleagues and communities during the pandemic
- Creation of apprenticeship and intern schemes



Meet the requirements of the Modern Slavery Act (“MSA”), mitigate any associated risks and encourage better behaviour within the Quantexa supply chain



Zero tolerance approach to slavery and forced labour in our business or supply chain

- Continue to educate employees on how to prevent human trafficking and modern slavery
- Enhancements to the know-your-vendor process to add more assurance



Continue to improve our approach to ESG, including sharing best practices among our clients, partners and peers



Publication of our Environmental, Social and Governance Policy



Publication of our Carbon Reduction Plan

- Enterprise Risk Management to underpin our decisions with active accountability and risk assigned risk stewards for all associated ESG related risks
- To support the transition to a net-zero plan and take urgent action to tackle climate change and its impacts.

Being an environmental leader



Being an environmental leader



At Quantexa, we recognize the urgent issue of climate change and are committed to our pledge to support the transition to a low-to-zero carbon economy. We are proud to say that Quantexa is well on its way to becoming carbon neutral.

While the Covid-19 pandemic was a testing and for many, devastating, time, the silver lining was that our planet, albeit briefly, was allowed to breathe in light of the suspension of international travel and local restrictions. During the next few years, we expect this to at least partially reverse.

We have also taken several steps to strengthen our environmental approach, including forming an internal working group to further enhance our approach to environmental factors such as international and domestic travel.

**The numbers in the table opposite cover only the UK Office.*

Notable actions taken:

- We have created an internal committee whose primary task is to monitor the ESG Policy that has been published
- We have enhanced the internal communications programme to encourage our people to undertake a deeper understanding and responsibility when it comes to building a more sustainable future.
- We are forming a deeper relationship with WeWork to better understand their approach when it comes to environmental, social and governance requirements.

	2020/2021 TOTAL (tCO ₂ e)FY
Scope 1	0
Scope 2	0
Scope 3	1020
Total tonnes of CO ₂ (equivalent)	1020
kgCO ₂ (per full-time employees based on 285)	357

These emissions were calculated by allocating a percentage (%) of desks to Quantexa as part of the wider WeWork utilities footprint.

It was decided to include Electricity Use and Stationary Combustion emissions in Scope 3 rather than Scope 1 & 2 because Quantexa sub-let all office locations from WeWork.

**The numbers in the table cover only the UK Office.*

Promoting and supporting diversity, equality and inclusion



Promoting and supporting diversity, equality and inclusion

Quantexa recognizes the importance of diversity, equality and inclusion within all areas, and we are committed to providing an environment in which each employee is recognized, valued, able to fulfil their potential and maximize their contribution. We appreciate the value and creative potential that individuals of different backgrounds and abilities bring. We work hard to ensure equal treatment in all aspects of working life, and an inclusive and supportive culture where differing views and experiences are always respected. We firmly believe that such a culture significantly enhances our ability to provide a quality service to our clients and the sharing of experiences to our colleagues.

To attract the best talent, Quantexa uses direct recruitment and trusted third-party recruiters. Our direct recruitment uses platforms such as LinkedIn and Workable, which enables us to reach high-quality candidates outside of our traditional networks.

When engaging with third party recruiters, we only work with organizations that share our commitment to diversity and inclusion, and we request diverse candidate shortlists and candidate searches across a wide range of non-traditional talent pools. When we do receive less diverse shortlists, we carry out analysis to understand the root causes and improve recruitment approaches without introducing any discrimination.

Glassdoor Quantexa Reviews

4.6 ★ ★ ★ ★ ★

95% Recommend to a Friend

96% Approve of CEO

% of Female Vs Male Employees



“Quantexa’s culture is all about putting DATA to work. This is demonstrated through our core values:- Determination, Ambition, Teamwork & Accountability. We believe that retaining and attracting talented people from all backgrounds, with differing views and experiences allows us to better serve our colleagues, clients, partners and investors.”

Lorraine Metcalf – Chief People Officer



Meaningful data | Trusted decisions

www.quantexa.com

Regulatory notices and disclaimers

No representation or warranty, express or implied, is given as to the accuracy or completeness of the information or opinion contained herein on the part of Quantexa or any of its directors, officers, partners, members, agents or advisers or any other person and no responsibility or liability is accepted by any of them for any such information or opinions. This report and any materials distributed in connection with this report are not directed to, or intended for distribution to or use by, any person or entity that is a citizen or resident or located in any locality, state, country or other jurisdiction where such distribution, publication, availability or use would be contrary to law or regulation or which would require any registration or licensing within such jurisdiction.

The distribution of this report in certain jurisdictions may be restricted by law and persons into whose possession this report comes should inform themselves about, and observe any such restrictions. Except where otherwise indicated herein, the information provided herein is based on matters as they exist as of the date of preparation and not as of any future date, and will not be updated or otherwise revised to reflect information that subsequently becomes available, or circumstances existing or changes occurring after the date hereof. Quantexa does not undertake to provide the recipient with access to any additional information or to correct any inaccuracies therein which may become apparent.

Any questions regarding this report should be directed to Quantexa Limited at 10 York Road, London, SE1 7ND, UK

The information in this report is accurate as of the date of 09th February 2022.